PRI REGISTRAR
AUDITOR ADVISORY #116

TO: PRI Registrar Auditors
FROM: Pete Kucan
DATE: 11/7/2019
RE: Objective Evidence and Documentation Requirements
CC: PRI Registrar Staff

Dear Auditors,

You may have already heard this, but at our last ANAB office audit, I had the opportunity to live my worst nightmare. Sam and I had split the audit agenda between ourselves so as to escort the ANAB auditors simultaneously...so I was not quite as worried as I should have been when Sam summoned me to review an issue with her and the auditor.

The ANAB auditor was quick to share the objective evidence collected by two auditors during their respective reassessment audits under review with the question “How did PRI Registrar make registration decisions for these two clients given the quality and quantity of the objective evidence presented here by the auditors?” Good question.

What was displayed could have been written by the auditors while sitting at home and never having visited the sites. Vague, general, qualitative summation, cut and pasted paragraphs that still contained references to “our” and “we” instead of “their”, no specifics, no evaluation of effectiveness are all descriptors that gave us no room to debate what would become a major NCR.

So, to get our auditors and expert reviewers on the same level as our expectations, we comprised RF-143 Objective Evidence and Documentation Requirements to be used by both our auditors and expert reviewers. (In case you were wondering, the offending auditors were asked to revise their audit documentation to be more in line with RF-143 requirements as part of our correction activity.)

The RF-143 is available on the Auditor Help page, under Auditor Reference Materials.

Given the importance of delivering these expectations to you all, we are asking that you acknowledge review of these requirements via this link by November 15: https://www.surveygizmo.com/s3/5312078/Auditor-Advisory-116-Acknowledgement

Thanks to all of you who perform masterfully with every audit that you do and require no adjustment.

And thanks to any of you who adjust your auditing process to the requirements stated in RF-143.